

12-01-00

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PTO/SB/05 (2/98)

Approved for use through 09/30/2000 OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. 4015-721

First Inventor or Application Identifier

Paul W. Dent

Title

ANTI-SPOOFING PASSWORD PROTECTION

Express Mail Label No.

EL659735153US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 24]  
(preferred arrangement set forth below)
  - Descriptive title to the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
4. ☒ Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS


8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ \*Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Express Mail Certification

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE  
ENTITLED TO PAY SMALL ENTITY FEES, A SMALL  
ENTITY STATEMENT IS REQUIRED (37 C.F.R.  
§ 1.27), EXCEPT IF ONE FILED IN A PRIOR  
APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner: Group/Art Unit:

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  24112 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

Name (Print/Type)

David E. Bennett

Registration No (Attorney/Agent)

32,194

Signature

Date

November 30, 2000

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

## FEE TRANSMITTAL

See 37 C.F.R. §§ 1.27 and 1.28.

*Complete if Known*

|                      |              |
|----------------------|--------------|
| Application Number   | TBA          |
| Filing Date          | TBA          |
| First Named Inventor | Paul W. Dent |
| Examiner Name        | TBA          |
| Group Art Unit       | TBA          |
| Attorney Docket No.  | 4015-721     |

**FEE CALCULATION** (continued)

Coats &amp; Bennett, P L L C

☐ Charge the Issue Fee Set in  
37 CFR §1 18 at the Mailing of the  
Notice of Allowance

☒ Check    ☐ Money Order    ☐ Other

## FEE CALCULATION

**1. BASIC FILING FEE**

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid         |
|---------------------|-----------------|----------------|-----------------|------------------------|------------------|
| 01                  | 690             | 201            | 345             | Utility filing fee     | 710 00           |
| 06                  | 310             | 206            | 155             | Design filing fee      |                  |
| 07                  | 480             | 207            | 240             | Plant filing fee       |                  |
| 08                  | 760             | 208            | 380             | Reissue filing fee     |                  |
| 14                  | 150             | 214            | 75              | Provisional filing fee |                  |
| <b>SUBTOTAL (1)</b> |                 |                |                 |                        | <b>(\$710 00</b> |

## 2. EXTRA CLAIM FEES

|                           |    | Extra Claims |   | Fee from below |    | Fee Paid |
|---------------------------|----|--------------|---|----------------|----|----------|
| Total Claims              | 19 | -20** =      |   | X              |    |          |
| Independent Claims        | 4  | -3** =       | 1 | X              | 80 | 80       |
| Multiple Dependent Claims |    |              |   | X              |    |          |

| **or number previously paid, if greater, For Reissues, see below |                       |                      |                       |  |
|--|-----------------------|----------------------|-----------------------|--|
| Large<br>Fee<br>Code   | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
| 103  | 18                    | 203                  | 9                     | Claims in excess of 20                                       |
| 102  | 78                    | 202                  | 39                    | Independent claims in excess of 3                            |
| 104  | 260                   | 204                  | 130                   | Multiple dependent claim                                     |
| 109  | 78                    | 209                  | 39                    | **Reissue independent claims<br>over original patent         |
| 110  | 18                    | 210                  | 9                     | **Reissue claims in excess of 20<br>and over original patent |

### 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examination action                     |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 380             | 216            | 190             | Extension for reply within second month                                    |          |
| 117            | 870             | 217            | 435             | Extension for reply within third month                                     |          |
| 118            | 1,360           | 218            | 680             | Extension for reply within fourth month                                    |          |
| 128            | 1,850           | 228            | 925             | Extension for reply within fifth month                                     |          |
| 119            | 300             | 219            | 150             | Notice of Appeal   |          |
| 120            | 300             | 220            | 150             | Filing a brief in support of an appeal                                     |          |
| 121            | 260             | 221            | 130             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,210           | 241            | 605             | Petition to revive - unintentional   |          |
| 142            | 1,210           | 242            | 605             | Utility issue fee (or reissue)   |          |
| 143            | 430             | 243            | 215             | Design issue fee   |          |
| 144            | 580             | 244            | 290             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126            | 240             | 126            | 240             | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) | 40 00    |
| 146            | 760             | 246            | 380             | Filing a submission after final rejection (37 CFR 1 129(a))                |          |
| 149            | 760             | 249            | 380             | For each additional invention to be examined (37 CFR 1 129(b))             |          |

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

|                     |                   |
|---------------------|-------------------|
| <b>SUBTOTAL (3)</b> | <b>(\$)</b> 40 00 |
|---------------------|-------------------|

## SUBMITTED BY

|                       |                  |
|-----------------------|------------------|
| Typed or Printed Name | David E. Bennett |
|-----------------------|------------------|

Signature \_\_\_\_\_

David S. Bennett

|      |                   |
|------|-------------------|
| Date | November 30, 2000 |
|------|-------------------|

Complete (if applicable)

|             |        |
|-------------|--------|
| Req. Number | 32,194 |
|-------------|--------|

|                            |         |
|----------------------------|---------|
| Deposit Account<br>User ID | 18-1167 |
|----------------------------|---------|

FEETRA~1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Paul W. Dent

Serial No. \_\_\_\_\_

Filed: \_\_\_\_\_

For: ANTI-SPOOFING PASSWORD  
PROTECTION

Attorney's Docket No. P-4015.721

**Express Mail Certification**  
**Label No.EL659735153US**

Raleigh, North Carolina

November 30, 2000

Assistant Commissioner for Patents

**BOX PATENT APPLICATION**

Washington, D.C. 20231

Sir:

**EXPRESS MAIL CERTIFICATE LABEL NO. EL659735153US**

**DATE MAILED: November 30, 2000**

I hereby certify that the enclosed Utility Patent Application Transmittal, Fee Transmittal Form (2 copies), specification and claims, drawings (3 sets of 5 sheets), Declaration and Power Of Attorney, Assignment and Recordation Sheet, and our Check # 2758 in the amount of \$830.00, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37C.F.R. §1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

Respectfully submitted,

**COATS & BENNETT, P.L.L.C.**

By:



David E. Bennett

Registration No. 32,194

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